(AW 08/03)

Substitute for Form 1449A/PTO		Complete if Known	
	Application Number	To Be Assigned /0/827, 146	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Manfred Heinritz	
	Art Unit	Not Yet Known	
 	Examiner Name	Not Yet Known	
SHEET 1 of 2	Attorney Docket No.	SSM-491US1	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
m	1	US-4,602,416	07/29/1986	Thome	Entire Document		
m	2	US-6,089,309	07/18/2000	Ge	Entire Document		
M	3	US-6,095,957	08/01/2000	Ichino et al.	Entire Document		
M	4	US-5,839,495	11/24/1998	Ichino et al.	Entire Document		
M	5	US-4,990,410	02/05/1991	Saitoh et al.	Entire Document		
Me	6	US-4,900,635	02/13/1990	Bowen et al.	Entire Document		
M	7	US-4,433,032	02/21/1984	Nakamura et al.	Entire Document		
im	8	US-4,357,394	11/02/1982	Khandros	Entire Document		
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		FOREIGN PA	TENT DOCUMEN	TS		
Examiner		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant	
Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	(MM-DD-YYYY)	Document	Passages or Relevant Figures Appear	T⁵
m	9	EP 1 001 040	05/17/2000	Grunow	Entire Document	
M	10	WO 01/58622	08/16/2001	Holzgruber et al.	Entire Document	
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Examiner Signature	1	( Melle		Date Considered	2/3/08	•

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

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\*Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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(AW 08/03)

Substitute for Form 1449B/PTO		Complete if Known
	Application Number	To Be Assigned /0/827, 146
INFORMATION DISCLOSURE	Filing Date	Herewith
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz
(Use as many sheets as necessary)	Art Unit_	Not Yet Known
	Examiner Name	Not Yet Known
SHEET 2 of 2	Attorney Docket No.	SSM-491US1

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
M	11	Klaus Schwerdtfeger et al., Metallurgie des Stranggießens: Gießen und Erstarren von Stahl (Düsseldorf: Verlag Stahleisen mbH, 1992), pp. 333-336. (www.dh.)	
m	12	Hans Schrewe, Stranggießen von Stahl: Einführung und Grundlagen (Düsseldorf: Verlag Stahleisen mbH, 1987), pp. 119-120. (W WWH)	
m	13	Schumann, Hermann: "Metallographie," Veb 1 Deutscher Verlag Für Grunstoffindustrie, Leipzip, p. 231. (No Late)	
hu	14	Abstract of Japanese Patent No. 59-73150. (A mil 1984)	
im	15	European Search Report dated December 27, 2002, from European Application No. 01 12 5434.	
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Examiner Signature	T	Date Considered 2/3/05	

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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SHEET 1 of 2

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	
Application Number	10/827,146	
Filing Date	April 19, 2004	
First Named Inventor	Manfred Heinritz	
Art Unit	Not Yet Known	
Examiner Name	Not Yet Known	
Attorney Docket No.	SSM-491US1	

	U.S. PATENT DOCUMENTS						
		Document Number			Pages, Columns, Lines, Where		
Examiner Initials*	Ote No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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		FOREIGN PA	TENT DOCUMEN	rs		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	די
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Examiner	/	-1100	Date	2/2/20
Signature		auch	Considered	13/05

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Tenter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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(AW 08/03)

Substitute for Form 1449B/PTO		Complete if Known
	Application Number	10/827,148
INFORMATION DISCLOSURE	Filing Date	April 19, 2004
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz
(Use as many sheets as necessary)	Art Unit	Not Yet Known
	Examiner Name	Not Yet Known
SHEET 2 of 2	Attorney Docket No.	SSM-491US1

NON-PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,

Examiner Initials*	Cite No.1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
du	2	Schumann, Hermann: "Metallographie," Veb Deutscher Verlag Für Grundstoffindustrie, Leipzig, pp. 475-481.	
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Examiner Signature		Callle Date Considered 2/3/05	

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SHEET 1 of 2

Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	 
Application Number	10/827,148	
Filing Date	April 19, 2004	
First Named Inventor	Manfred Heinritz	
Art Unit	1775	
Examiner Name	Michael E. Lavilla	
Attorney Docket No.	SSM-491US1	

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Examiner Initials*	Cite No.1	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
		Number - Kind Code <sup>2</sup> (if known)			Relevant Passages or Releva Figures Appear		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If Islown)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
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Examiner Signature		Carles		Date Considered	2/3/05	

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8/27/04

(AW 08/03)

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		Application Number	10/827,146	
	INFORMATION DISCLOSURE	Filing Date	April 19, 2004	
	STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Manfred Heinritz	
		Art Unit	1775	
		Examiner Name	Michael E. Lavilla	
$\overline{}$	SHEET 2 of 2	Attorney Docket No.	SSM-491US1	

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²		
M	2	Wolfgang Bergmann, "Materials Technology" Part 1: Basics, 3 <sup>rd</sup> Revised Edition, Hanser (2000), pp. 218, 219, 222-233, 258-260 (Includes Partial Translation). (no mutal)			
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